




ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	METHOD OF AND SYSTEM FOR RULES-BASED POPULATION OF KNOWLEDGE BASE USED FOR MEDICAL CLAIMS PROCESSING				
Application Number:		10/690257			
Confirmation Number:		3421			
First Named Applicant:		Oscar Chappel			
Attorney Docket Number:		92717-00345USP1			
Art Unit:		N/A 2129			
Examiner:		Not Yet Assigned Buss			
Search string:		(20030083903 or 20030074228 or 20030074218 or 20030069760 or 20030069756 or 20030061096 or 20030060688 or 20030055679 or 20030055531 or 20030050825 or 20030050804 or 20030049701 or 20030036924 or 20030033169 or 20030032069 or 20030028404 or 20030027223 or 20030023473 or 20030009357 or 20020198885 or 20020195488 or 20020193667 or 20020188452 or 20020183626 or 20020173992 or 20020173989 or 20020173988 or 20020173987 or 20020173875 or 20020170565 or 20020165739 or 20020165738 or 20020165735 or 20020156078 or 20020150966 or 20020148893 or 20020147617 or 20020147615 or 20020138649 or 20020138306 or 20020128868 or 20020111826 or 20020100762 or 20020091552 or 20020087533 or 20020077994 or 20020070226 or 20020069089 or 20020069085 or 20020069079).pn.			
US Published Applications					
Note: Applicant is not required to submit a paper copy of cited US Published Applications					
init	Cite.No.	Pub. No.	Date	Applicant	Kind Class Subclass
BB	AA**	20030083903	2003-05-01	Gene Myers	
BB	AB**	20030074228	2003-04-17	Christopher Walsh	



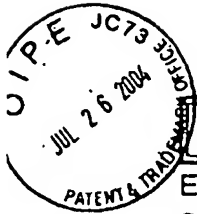
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	AG**	20030060688	2003-03-27	Anthony Ciarniello
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	AK**	20030050804	2003-03-13	Michael Hendershot
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	AQ**	20030027223	2003-02-06	Patrick Muraca
	AR**	20030023473	2003-01-30	GEORGE VICTOR GUYAN
	AS**	20030009357	2003-01-09	ROBERT H. PISH
	AT**	20020198885	2002-12-26	Larry Streepy
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Signature

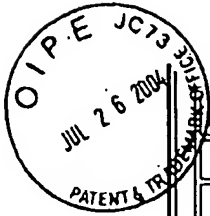
Examiner Name	Date
/Benjamin Buss/	06/30/2006



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First Named Applicant: Oscar Chappel							
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Art Unit: N/A 2129							
Examiner: Not Yet Assigned Buss							
Search string: (6556964 or 6551266 or 6415295 or 6381576 or 6370511 or 6366683 or 6353817 or 6347329 or 6314556 or 6292771 or 6266645 or 6182047 or 6137911 or 6125350 or 6088677 or 6082776 or 6067466 or 6049794 or 5956689 or 5924074 or 5916818 or 5908383 or 5890129 or 5835897 or 5819228 or 5809476 or 5794178 or 5784635 or 5772585 or 5724983 or 5724488 or 5619709 or 5577169 or 5483443 or 5390330 or 5301105 or 20030009359 or 20020187772 or 20020077849 or 20020007284 or 20010051880 or 20010051879 or 20010018739).pn.							
US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
BB	AA**	6556964	2002-09-12	Peter Haug			
	AB**	6551266	2003-04-22	Richard Davis			
	AC**	6415295	2002-07-02	Lawrence Feinberg			
	AD**	6381576	2002-04-30	EDWARD HOWARD GILBERT			
	AE**	6370511	2002-04-09	DENNIS K. DANG			
	AF**	6366683	2002-04-02	CURTIS P. LANGLOTZ			
	AG**	6353817	2002-03-05	CHARLES M. JACOBS			
BB	AH**	6347329	2002-02-12	Jae Evans			



BB	AI**	6314556	2001-11-06	BRIAN C. DEBUSK
	AJ**	6292771	2001-09-18	PETER J. HUAG
	AK**	6266645	2001-07-24	KIT N. SIMPSON
	AL**	6182047	2001-01-30	FREDERICK M. DIRBAS
	AM**	6137911	2000-10-24	MAXIM ZHILYAEV
	AN**	6125350	2000-09-26	FREDERICK M. DIRBAS
	AO**	6088677	2000-07-11	LOREN J. SPURGEON
	AP**	6082776	2000-07-04	LAWRENCE E. FEINBERG
	AQ**	6067466	2000-05-23	HARRY P. SELKER
	AR**	6049794	2000-04-11	CHARLES M. JACOBS
	AS**	5956689	1999-09-21	KENNETH A. EVERHART III
	AT**	5924074	1999-07-13	JAE A. EVANS
	AU**	5916818	1999-06-29	JOHANNES IRSCH
	AV**	5908383	1999-06-01	ULF BRYNJESTAD
	AW**	5890129	1999-03-30	LOREN J. SPURGEON
	AX**	5835897	1998-11-10	DENNIS K. DANG
	AY**	5819228	1998-10-06	ALAN SPIRO
	AZ**	5809476	1998-09-15	JOHN K. RYAN
	AA1**	5794178	1998-08-11	WILLIAM R. CAID
	AB1**	5784635	1998-07-21	WILLIAM J. MCCALLUM
	AC1**	5772585	1998-06-30	MARIANNE LAVIN
	AD1**	5724983	1998-03-10	HARRY P. SELKER
	AE1**	5724488	1998-03-03	ROBERT T. PREZIOSO
	AF1**	5619709	1997-04-08	WILLIAM R. CAID
	AG1**	5577169	1996-11-19	ROBERT T. PREZIOSO
	AH1**	5483443	1996-01-09	BERNARD A. MILSTEIN
	AI1**	5390330	1995-02-14	KIRIT K. TALATI
BB	AJ1**	5301105	1994-04-05	DESMOND D. CUMMINGS

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
BB	AK1**	20030009359	2003-01-09	James Weidner			
BB	AL1**	20020187772	2002-12-12	Petri Hyypa			
BB	AM1**	20020077849	2002-06-20	Howard Baruch			



BB		20020007284	2002-01-17	Kurt Schurenberg
BB	AO1**	20010051880	2001-12-13	Kurt Schurenberg
BB	AP1**	20010051879	2001-12-13	Robin Johnson
BB	AQ1**	20010018739	2001-08-30	Milton Anderson

Signature


Examiner Name	Date
/Benjamin Buss/	06/30/2006



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BB	TRAD	20020124002	2002-09-05	Hua-Ching Su	
		AK**	20020120466	2002-08-29	Sam Finn
		AL**	20020107452	2002-08-08	Manlik Kwong
		AM**	20020103680	2002-08-01	Les Newman
		AN**	20020099686	2002-07-25	Eric Schwartz
		AO**	20020093189	2002-07-18	Jerome Krupa
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		AR**	20020069057	2002-06-06	Gregory Kapust
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		AT**	20020052760	2002-05-02	Michael Munoz
		AU**	20020052551	2002-05-02	Stephen Sinclair
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		AZ**	20020010595	2002-01-24	Thomas Kapp
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Signature

Examiner Name	Date
/Benjamin Buss/	06/30/2006



ALTERNATIVE TO PTO/SB/08a/b (05-03)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete If Known	
		Application Number	10/690257-Conf. #3421
		Filing Date	October 21, 2003
		First Named Inventor	Oscar A. Chappel
		Art Unit	3826 2129
Examiner Name	Not Yet Assigned Buss		
Attorney Docket Number	92717-00345USP1		
Sheet	1	of	2

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
BB	A1	US-2003/0078813	04-24-2003	Haskell et al.	

FOREIGN PATENT DOCUMENTS					
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*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
BB	C1	Digital Business Office Architecture; 2001 The Technical Resource Connection, Inc.; 1 Page.		
	C2	Tips for Clean Claims for Institutional Providers; 3 Pages. 2002		
	C3	Health Claims for Equivalent Encounter Information; HCFA Uniform Bill-92 (UB-92), Version 4.1; 28 Pages. Accessed 12/19/2002		
	C4	New Area PPO Puts Emphasis on Claims Service; ALAN MOORSE; Capital District Business Review; 2 Pages. 1999		
BB	C5	Object Oriented Programming; A Guide for the Beginner- from Modula-2 to Java; 9 Pages. 1999		
	C6	Rule Based Systems Fuzzy Logic Expert Systems Forwards and Backwards Chaining Pattern Matching Imperative and Declarative Programming; GOURAV SHARMA et al.; 13 Pages.		
BB	C7	AMR Health's - AVS Reimbursement System; 3 Pages. Accessed 5/3/2003		
	C8	Introduction; 2 Pages. 1994		
	C9	Designing an Expert System; 1 Page. 1994		
	C10	Expert System Architecture; 2 Pages. 1994		
	C11	Choosing a Problem; 1 Page. 1994		
	C12	Knowledge Engineering; 1 Page. 1994		
	C13	Exam-like Questions; 1 Page. 1994		
	C14	Rules and Expert Systems; 1 Page. 1994		
	C15	A Simple Example; 2 Pages. 1994		
	C16	Explanation Facilities; 2 Pages. 1994		
	C17	More Complex Systems; 1 Page. 1994		
	C18	An Expert System Shell in Prolog; 4 Pages. 1994		
	C19	MYCIN: A Quick Case Study; 3 Pages. 1994		
	C20	Knowledge-Based System; 1 Page. 1999		
	C21	Expert System; 1 Page. 1996		
	C22	Inference Engine; 1 Page. 1994		
	C23	Inference Rule; 1 Page. 1994		
	C24	Object Code; 1 Page. 1995		
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Examiner Signature	/Benjamin Buss/	Date Considered	06/30/2006
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DALLAS2 1047905v1 92717-00345



ALTERNATIVE TO PTO/SB/08a/b (08-03)

Substitute for form 1449/PTO		Complete If Known			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Filing Date	October 21, 2003		
		First Named Inventor	Oscar A. Chappel		
		Art Unit	0020 2129		
		Examiner Name	Not Yet Assigned Buss		
Sheet	2	of	2	Attorney Docket Number	92717-00345USP1

BB	C26	Forward Chaining Systems; 3 Pages. 1994	
	C27	Backward Chaining Systems; 2 Pages. 1994	
↓	C28	Forwards vs. Backwards Reasoning; 1 Page. 1994	
	C29	Uncertainty in Rules; 1 Page. 1994	
BB	C30	Exam-like Questions; 1 Page. 1994	

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant's unique citation designation number (optional). *Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Benjamin Buss/	Date Considered	06/30/2006
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ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete If Known			
		Application Number	10/690257-Conf. #3421		
		Filing Date	October 21, 2003		
		First Named Inventor	Oscar A. Chappel		
		Art Unit	3026 2129		
		Examiner Name	Not Yet Assigned Buss		
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FOREIGN PATENT DOCUMENTS					
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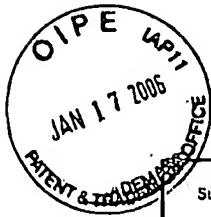
*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 801.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶	
BB	C1	Makridakis, Spyros et al., Forecasting Methods and Applications Third Edition, John Wiley & Sons, 1998, ISBN: 0-471-53233-9		
	C2	Turban, Efraim et al., Decision Support Systems and Intelligent Systems - Fifth Edition, Prentice Hall, Inc., 1998, ISBN: 0-13-740937-0		
	C3	Bell et al., The Forecasting Report, September 1999		
	C4	Armstrong, Scott J., Strategic Planning and Forecasting Fundamentals, 1983, Excerpt from Albert, Kenneth, The Strategic Management Handbook, McGraw Hill		
	C5	Armstrong, J. Scott et al., Principles of Forecasting - A Handbook for Researchers and Practitioners, Springer, April, 2001, ISBN: 0792374010		
	C6	Armstrong, J. Scott et al., Principles of Forecasting - Web Site, January 2001, www.passig.com/pic/ForecastingHandbook.htm		
	C7	ForecastPro.com - Home, Product Description and Product Review web pages, November 2000, Retrieved April 15, 2005 from Archive.org		
	C8	Hollandeer, Geoffrey, ForecastPro Presages Data, InfoWorld, February, 2000		
	C9	Stellwagen, Eric, New Version of Forecast Pro Greatly Expand Capabilities, Performance and Ease of Use, Business Forecast Systems, Inc., Press Release, February 2000		
	C10	Coffee, Peter, Forecast Pro XE Sharpens Users' View, PC Week Labs, February 2000		
	C11	Armstrong, Scott J. et al., Forecasting for Marketing, Excerpt from Hooley et al., Quantitative Methods in Marketing, Second Ed, 1999, Pgs 92-119		
	C12	Delurgio, Steve, Guide to Forecast Pro from Windows, Retrieved from forecast.umkc.edu , April 2005		
	C13	Forecast Pro Product Brochure, Retrieved April 19, 2005 from Archive.org - www.forecastpro.com		
BB	C14	Jain, Chaman, Benchmarking Forecasting Software Packages and Systems, Institute of Business Forecasting Research Report 32, Retrieved April 19, 2005 from Archive.org - www.forecastpro.com		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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DALLAS2 1124119v1 92717-00345



ALTERNATIVE TO PTO/SB/082/b (07-05)

Substitute for form 1449/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/690257-Conf. #3421
		Filing Date	October 21, 2003
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Sheet 1 of 1	Attorney Docket Number	92717-00345USP1	

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.†	Document Number Number-Kind Code* (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document

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BB	B2	JP-2000-250888	09-14-2000	Hitachi Ltd et al.

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NON PATENT LITERATURE DOCUMENTS				
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Examiner Signature	/Benjamin Buss/	Date Considered	06/30/2006
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ALTERNATIVE TO PTO/SB/08a/b (07-05)

Substitute for form 1449/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/690257-Conf. #3421
		Filing Date	October 21, 2003
		First Named Inventor	Oscar A. Chappel
		Art Unit	3626 2129
		Examiner Name	Not Yet Assigned Buss
Sheet	1	of	1
		Attorney Docket Number	92717-00345USP1

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		Filing Date	October 21, 2003
		First Named Inventor	Oscar A. Chappel
		Art Unit	3628 2129
Examiner Name	Not Yet Assigned Buss		
Sheet 1 of 4	Attorney Docket Number	92717-00345USP1	

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		First Named Inventor	Oscar A. Chappel		
		Art Unit	3628 2129		
		Examiner Name	Not Yet Assigned Buss		
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		Art Unit	3626 2129		
		Examiner Name	Not Yet Assigned Buss		
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		Filing Date	October 21, 2003		
		First Named Inventor	Oscar A. Chappel		
		Art Unit	3626 2129		
		Examiner Name	Not Yet Assigned Buss		
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	C60	Allan D. R. McQuarrie et al., "Regression and Time Series Model Selection", World Scientific, August 1998, ISBN: 981023242X	
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		Application Number	10/690,257-Conf. #3421		
		Filing Date	October 21, 2003		
		First Named Inventor	Oscar A. Chappel		
		Art Unit	2129		
Examiner Name	B. J. Buss				
Sheet	1	of	1	Attorney Docket Number	92717-00345USP1

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/BB/	CA	COLLOPY, Fred, "Rule -Based Forecasting: Development and Validation of an Expert Systems Approach to Combining Time Series Extrapolations, Management Science", Vol. 38, No. 10, 1992, Pages 1394-1414.			
/BB/	CB	RUST, Roland T. et al., "Model Selection Criteria: An Investigation of Relative Accuracy, Posterior Probabilities, and Combinations of Criteria, Management Science", Vol. 41. No. 2, February 1995, Pages 322-333.			
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